A Brief Refreshing Course of Computer Graphics

The Overall Goal: Photorealism



Gilles Tran, using POV-Ray 3.6

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The Second Goal: Speed and Efficiency



Doom (1993), by id Software

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The Second Goal: Speed and Efficiency



Ryse (2013); by Crytek/Microsoft Studios

A Brief Refreshing Course on Computer Graphics

Tobias Isenberg

Graphics 101

Computer Graphics 101

- computer graphics pipeline
- models and object representation
- transformations and projections
- hidden surface removal
- illumination and shading
- texture mapping
- color and color models







Computer Graphics Pipeline



Computer Graphics/Rendering Pipeline



- simple model of physical processes
- independent parallel processing of triangles
- control/parameterization of the individual stages
- implementation of the specific stages in hardware

Models and Object Representation



- geometry
 - shapes, positions
 - connectivity, inside/outside
- material properties
 - visuals, textures(plastic, wood, metal, etc.)
 - other material properties (elasticity, mass, etc.)
- behaviour/animation
- more depending on the specific application



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How to Specify a 3D Geometry?

- boundary representations (b-reps)
 - meshes
 - piecewise smooth surfaces
- volume representations
 - voxel models
 - implicit surfaces
 - CSG: constructive solid geometry
 - space partitioning
 - BSP trees: binary space partitioning
 - octrees





 polygons to define the surface of objects



- polygons to define the surface of objects
- triangle meshes
 - polygon with fewest vertices
 - always convex & planar \rightarrow defines unique surface



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 - always convex & planar \rightarrow defines unique surface
- triangle strips: faster rendering
- more complex mesh data structures (e.g., Winged Edge)





B-Reps: Piecewise Smooth Surfaces

- surface constructed from patches
- patches can be curved and are smooth
- patches satisfy a continuity constraint
- e.g., Bézier, Spline, NURBS surfaces



Volumes: Voxel Models

- sampling of a volume in regular intervals
- samples as cubes, or as general boxes
- several properties can be sampled
- shapes: *iso-values* define *iso-surfaces*
- heavily used in medical imaging; based on CT, MRI



Volumes: Construct. Solid Geometry

- Boolean operators to combine shapes
- unions, intersections, set differences
- build up CSG trees





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Volumes: BSP and Octrees

- space partitioning: define sub-spaces
- **b**inary **s**pace **p**artitioning: half-spaces
 - planes define borders between inside and outside; hierarchy
 - only current subspace affected
- octrees: partitioning on regular 2×2×2 grid (= 8)
 - mark cells inside, outside, or subdivide
 - 2D case: quadtrees (2×2)





Transformations

$$\begin{pmatrix} x' \\ y' \\ w' \end{pmatrix} = \begin{pmatrix} a & b & c \\ d & e & f \\ g & h & i \end{pmatrix} \begin{pmatrix} x \\ y \\ w \end{pmatrix}$$

Transformations

- 3D models represented as points, edges, polygons in 3D coordinate systems
 - object coordinate systems
 - world coordinate system
 - camera coordinate system
 - screen coordinate system
- transformations necessary
- foundation: geometry and linear algebra

Coordinate Systems in CG: 2D & 3D

two-dimensional

• three-dimensional

- 2 mirrored systems
- cannot be matched by rotation
- we use right-handed



X

2D Translation

- move point (x, y)^T on a straight line to (x', y')^T
- represent translation by a translation vector that is added $\begin{pmatrix} x' \\ y' \end{pmatrix} = \begin{pmatrix} x \\ y \end{pmatrix} + \begin{pmatrix} dx \\ dy \end{pmatrix}$
- vector: movement from one point to another



2D Uniform Scaling

- center of scaling is o
- scaling uniformly in all directions
- stretching of (x, y)^T's position vector by scalar factor α to get (x', y')^T
- mathematically: multiplication with α

$$\binom{x'}{y'} = \alpha \binom{x}{y} = \binom{\alpha x}{\alpha y}$$



2D Non-Uniform Scaling

- center of scaling is o
- scaling in x-direction by α and in y-direction by β (scaling vector (α, β)^T)
- mathematically: multiplication with α and β according to axis $\begin{pmatrix} x' \\ z \end{pmatrix} = \begin{pmatrix} \alpha x \\ \alpha \end{pmatrix}$
 - $(y')^{-}(\beta y)$
- application: mirroring



2D Rotation

- center of rotation is o
- point (x, y)^T is rotated by an angle α around o to obtain (x', y')^T
- positive angles α mean counter-clockwise rotation
- $x' = x \cos \alpha y \sin \alpha$ $y' = x \sin \alpha + y \cos \alpha$
- matrix multiplication: $\begin{pmatrix} x' \\ y' \end{pmatrix} = \begin{pmatrix} \cos \alpha & -\sin \alpha \\ \sin \alpha & \cos \alpha \end{pmatrix} \begin{pmatrix} x \\ y \end{pmatrix}$



Transformations in 2D: Results

 translation: scaling: rotation: addition of translation vector multiplication of factor(s) matrix multiplication

- problems:
 - non-uniform treatment of transformations
 - no way to combine N transformation into one
- idea: all transformations as matrix multiplications!
- only scaling and translation to do

Scaling using 2D Matrix

- general 2D matrix multiplication format $\begin{pmatrix} x' \\ y' \end{pmatrix} = \begin{pmatrix} a & b \\ c & d \end{pmatrix} \begin{pmatrix} x \\ y \end{pmatrix}$
- scaling formula

$$\begin{pmatrix} x' \\ y' \end{pmatrix} = \begin{pmatrix} \alpha x \\ \beta y \end{pmatrix}$$
 (possibly with $\alpha = \beta$)

• scaling as matrix multiplication $\begin{pmatrix} x' \\ y' \end{pmatrix} = \begin{pmatrix} \alpha & o \\ o & \beta \end{pmatrix} \begin{pmatrix} x \\ y \end{pmatrix}$

Translation using 2D Matrix

general matrix multiplication format

$$\begin{pmatrix} x' \\ y' \end{pmatrix} = \begin{pmatrix} a & b \\ c & d \end{pmatrix} \begin{pmatrix} x \\ y \end{pmatrix}$$

• tanslation formula $\begin{pmatrix} x' \\ y' \end{pmatrix} = \begin{pmatrix} x \\ y \end{pmatrix} + \begin{pmatrix} dx \\ dy \end{pmatrix}$

• translation as matrix multiplication $\begin{pmatrix} x' \\ y' \end{pmatrix} = \begin{pmatrix} ? & ? \\ ? & ? \end{pmatrix} \begin{pmatrix} x \\ y \end{pmatrix}$ not possible in 2×2 matrix! \otimes

Solution: Homogeneous Coordinates

- add an additional dimension to our vector space Aⁿ: $n \rightarrow n+1$
- $(x, y)^T$ represented as $(wx, wy, w)^T$, $w \neq 0$
- normalized using $w = 1 \rightarrow (x, y, 1)^T$
- each point in Aⁿ is equivalent to a line in homogeneous space Aⁿ⁺¹ that originates in the origin o but without including o
- homogeneous coordinates are not to be confused with "regular" 3D coordinates!
Homogeneous Coordinates in 2D

 each point in Aⁿ is equivalent to a line in homogeneous space Aⁿ⁺¹ that originates in the origin o but without including o



Solution: Homogeneous Coordinates

- advantages of homogeneous coordinates
 - uniform treatment of transformations
 - all transformations can be represented
 - combined transformations as one matrix
- procedure: matrix-vector multiplication

$$\begin{pmatrix} x' \\ y' \\ w' \end{pmatrix} = \begin{pmatrix} a & b & c \\ d & e & f \\ g & h & i \end{pmatrix} \begin{pmatrix} x \\ y \\ w \end{pmatrix}$$

• goal: derive transformation matrices

Translation in Homogeneous Coords

• general matrix multiplication format

$$\begin{pmatrix} x' \\ y' \\ w' \end{pmatrix} = \begin{pmatrix} a & b & c \\ d & e & f \\ g & h & i \end{pmatrix} \begin{pmatrix} x \\ y \\ w \end{pmatrix}$$

• translation formula

$$\begin{pmatrix} x' \\ y' \end{pmatrix} = \begin{pmatrix} x \\ y \end{pmatrix} + \begin{pmatrix} dx \\ dy \end{pmatrix}$$

• translation as matrix multiplication

$$\begin{pmatrix} 1 & 0 & dx \\ 0 & 1 & dy \\ 0 & 0 & 1 \end{pmatrix} \implies \begin{pmatrix} x' \\ y' \\ 1 \end{pmatrix} = \begin{pmatrix} 1 & 0 & dx \\ 0 & 1 & dy \\ 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} x \\ y \\ 1 \end{pmatrix}$$

Scaling in Homogeneous Coords

• scaling as matrix multiplication

$$\begin{pmatrix} x' \\ y' \end{pmatrix} = \begin{pmatrix} \alpha & o \\ o & \beta \end{pmatrix} \begin{pmatrix} x \\ y \end{pmatrix}$$

• scaling as homogeneous matrix multiplication

$$\begin{pmatrix} \alpha & 0 & 0 \\ 0 & \beta & 0 \\ 0 & 0 & 1 \end{pmatrix} \implies \begin{pmatrix} x' \\ y' \\ 1 \end{pmatrix} = \begin{pmatrix} \alpha & 0 & 0 \\ 0 & \beta & 0 \\ 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} x \\ y \\ 1 \end{pmatrix}$$

Rotation in Homogeneous Coords

rotation as matrix multiplication

$$\begin{pmatrix} x' \\ y' \end{pmatrix} = \begin{pmatrix} \cos \alpha & -\sin \alpha \\ \sin \alpha & \cos \alpha \end{pmatrix} \begin{pmatrix} x \\ y \end{pmatrix}$$

rotation as homogeneous matrix multiplication

$$\begin{pmatrix} \cos\alpha & -\sin\alpha & o \\ \sin\alpha & \cos\alpha & o \\ o & 0 & 1 \end{pmatrix} \Rightarrow \begin{pmatrix} x' \\ y' \\ 1 \end{pmatrix} = \begin{pmatrix} \cos\alpha & -\sin\alpha & o \\ \sin\alpha & \cos\alpha & o \\ o & 0 & 1 \end{pmatrix} \begin{pmatrix} x \\ y \\ 1 \end{pmatrix}$$

Transformations in 3D Space



Geometric Transformations in 3D

- same approach as in 2D
- also use homogeneous coordinates (for the same reasons)
- vectors/points from 3D to 4D

$$\begin{pmatrix} x \\ y \\ z \end{pmatrix} \rightarrow \begin{pmatrix} x \\ y \\ z \\ 1 \end{pmatrix}$$

 transformation matrices are now 4×4 (instead of 3×3)

Transformation Matrices in 3D

- translation
 - translation vector
 (dx, dy, dz)^T
- scaling
 - for uniform scaling

 $s_x = s_y = s_z$

- otherwise individual factors may differ
- mirroring using factors of -1 and 1 depending on the mirror plane





Transformation Matrices in 3D

- rotation
 - rotation around 3 axes possible now
 - each has individual rotation matrix
 - rotation around positive angles in right-handed coordinate system
 - rotation axis stays unit vector in matrix

$R_{x} =$	1 0		0			0
	0	0 COS <i>(</i>		x —sin		0
	0	sin	α	$\cos lpha$		Ο
	O	၂၀ ၀		Ο		ı)
$R_y =$	$\cos \alpha$		0	$\sin lpha$		0
	Ο		1	C)	Ο
		$\sin lpha$	0	COS	sα	Ο
		0	0	C)	1)
$R_z =$	(со	sα	—si	'nα	0	ο
	$\sin lpha$		cosα		0	ο
	0		0		1	0
	0		0		Ο	1)

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Viewing and Projections



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Model-View Transformation

- model-view transformation steps:
 - 1. translate object origin to camera location
 - 2. rotate to align coordinate axes
 - 3. possibly also scaling
- this process is used in OpenGL: no explicit world coordinates!
- object & camera locations and orientations may be specified in a world coordinate system (e.g., in modeling systems)
- allows object hierarchies with animation
- separate from projection (next)

Model-View Transformation



Projections

planar projection:

- projection rays are straight lines
- projection surface/view plane is planar
- projections of straight lines are also straight



Introduction – Terms

- parallel projection: characterized by direction of projection (dop)
- perspective projection:
 center of projection (cop)
- projection on view plane
- vector perpendicular to view plane:
 view plane normal (vpn)
- rays characterizing projection:
 projectors (parallel or diverging from cop)

Classification of Planar Projections



- parallel projections: all projectors parallel to each other
- perspective projections: projectors diverge from cop

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Perspective Projections: Camera Model

- inspired by real cameras
- parameters:
 - position, orientation
 - aperture
 - shutter time
 - focal length, type of lens (zoom vs. wide)
 - depth of field
 - size of resulting image
 - aspect ratio (4:3, 16:9, 1.85:1, 2.35:1, 2.39:1)
 - resolution (digital cameras)



Perspective Projections: Camera Model

- simplified model in CG
 - position: point in 3D (= cop)
 - view direction: vector in 3D (vpn)
 - image specification: viewport
 - clipping planes for cutting off near and far objects (near and far clipping plane)



Perspective Projections: Camera Model

- differences between real and CG camera?
 - position of view plane w.r.t. COP
 - orientation of the image
 - type of camera (pinhole vs. lens)
 - type of "refraction"
 - lens effects (lens flare)
 - depth of field: none vs. existing
 - types of possible projections
 - picture taking times: 0 vs. >0
 - existence of far clipping plane
 - shape of view volume













- shearing, translation, scaling
- view volume is only implicitly transformed: included objects are actually transformed!



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Hidden Surface Removal



Hidden Surface Removal: Motivation

- goals:
 - model parts independently processed
 - at the same time: show front parts only
 - avoid unnecessary processing





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Back Face Culling

- back faces (usually) not visible
- remove these: reduction of computation
- removal early in the pipeline
- reduction of polygon count by approx.
 1/2 of the total polygon number
- computation: compare dot product of surface normal with view direction with 0







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z-Buffering

- idea:
 - rendering from back to front (Painter's algorithm)
 - avoid need to sort & problems with cyclic triangles
- realization by
 - trading speed for memory usage (memory is cheap [now anyway], time is not)
 - trading speed for accuracy (only compute what we really need/want)

z-Buffering

- introduce new pixel buffer: z-buffer
 (in addition to the frame buffer for image)
- *z*-buffer stores *z*-values of pixels





z-Buffering

- treat each primitive (triangle) individually:
 scene → objects → triangles → pixels
- use z-buffer data to determine if a new triangle is (partially) hidden or not
- at each time, the part of scene that has been processed thus far is correctly displayed

z-Buffering: Algorithm

- initialize *z*-buffer and frame buffer
- for each triangle
 - project all vertices of the triangle
 - interpolate z-values for each pixel (scan line)
 - before shading a pixel, test
 if its *z*-value is closer to camera (i.e. higher)
 than the current *z*-buffer value
 - if so: update z-buffer value and shade pixel
 - otherwise: discard pixel and continue
- after scene processing z-buffer contains depth map of scene

z-Buffering: Example (by object)











z-Buffering

- advantages
 - can be implemented in hardware
 - can process infinitely many primitives
 - does not need sorting of primitives (only need to know distance to camera)
 - can handle cyclic and penetrating triangles
- disadvantages
 - needs memory to keep all *z*-values (image size @ 8bit or 16bit)
 - cannot handle transparency properly

Illumination and Shading



- angle θ between L & N determines diffuse reflection
- reflection angle equals θ
- angle Φ between R & V determines perceived brightness
- maximal reflection if $R = V (\Phi = 0)$



- L vector to light source
 - 🛛 surface normal vector
- R reflected light ray
- \[
 - vector to viewer/observer
 \]

- directed reflection: reflection only for small Φ: smooth surfaces
- light attenuation depending on angle Φ : shininess
- modeled using cosine function and exponent: I ~ $\cos (\Phi)^{e}$ (e.g., metals: $e \approx 100$)
- physical reality: anisotropic materials



- diffuse reflection: equal reflection in all directions on rough surfaces, depends only on θ and not observer – examples?
- due to light scattering on rough surfaces on randomly oriented microscopic facets



 diffuse reflection modeled according to Lambert's law by cosine function:
 <u>I</u> ~ cos θ = L • N for normalized L, N



because light distributes over larger area



$\theta = 0^{\circ} \rightarrow max.$ intensity $\theta = 90^{\circ} \rightarrow 0$ intensity

Phong Illumination Model (1973)

- most common CG model for illumination (by Bùi Tường Phong): $I_{Phong} = I_a + I_d + I_s$
- ambient light: base illumination of scene
 - simulates light scattering on objects
 - necessary because repeated diffuse reflection is not considered in local illumination model
 - depends on color of all objects in scene
 - should always be kept very small
- diffuse light: light from diffuse reflection
- specular light: light from directed reflection

Phong Illumination Model



has to be evaluated for all light sources and for each of the base colors

Shading of Polygonal Models

- *status:* we can approximate color at a point
- *goal:* we want to render the whole model
- *constraint:* efficiency and quality
- *approach:* **shade** all pixels of a triangle based on color computation at a few points
- three techniques:
 - flat shading
 - Gouraud shading
 - Phong shading (≠ Phong illumination)
- shading + shadow computation

- no interpolation
- all pixels same color
- two methods:
 - one point per triangle/quad
 - average of triangle's/quad's vertices



- low quality: single primitives easily visible
- fast computation & easy implementation

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- computation of colors at all vertices
- linear interpolation of colors over primitive
- more computation but better quality than flat shading



- usually implemented in graphics hardware
- highlights problematic: highlight shapes and highlights in the middle of triangles

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- linear interpolation of normals for each pixel
- color computation for each pixel separately
- best quality, highlights are shown correctly



- but computationally more expensive
- problems:
 - polygons still visible at silhouettes
 - traditionally not implemented in hardware (nowadays not a problem with shaders)

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Polygonal Shading: Comparison



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Texture Mapping



Texture Mapping Motivation

- so far: detail through polygons & materials
- example: brick wall
- problem: many polygons & materials needed for detailed structures
 → inefficient for memory and processing



- new approach necessary: texture mapping
- introduced by Ed Catmull (1974), extended by Jim Blinn (1976)

Texture Mapping Motivation

- several properties can be modified
 - color: diffuse component of surface
 - reflection: specular component of surface to simulate reflection (environment mapping)
 - normal vector: simulate 3D surface structure (bump mapping)
 - actual surface: raise/lower points to actually modify surface (displacement mapping)
 - transparency: make parts of a surface entirely or to a certain degree transparent

Inherent Texture Coordinates

- (*u*, *v*) coordinates derived from parameter directions of surface patches (e.g., Bézier and spline patches)
- obvious (*u*, *v*) coordinates derived for primitive shapes (e.g., boxes, spheres, cones, cylinders, etc.)



2-Step Mapping

• four models: cylinder, sphere, plane, cube



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2-Step Spherical Mapping

 mapping onto surface of a sphere given by spherical coordinates

 no non-distorting mapping possible between plane and sphere surface



from R. Wolfe: Teaching Texture Mapping

2-Step Planar Mapping

 mapping onto planar surface given by position vector and two additional vector



from R. Wolfe: Teaching Texture Mapping

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2-Step Box Mapping

- enclosing box is usually axis-parallel bounding box of object
- six rectangles onto which the texture is mapped
- similar to planar mapping



from R. Wolfe: Teaching Texture Mapping

O Mapping: Object to Surface


Texture Mapping: Mip Mapping

- optimal texture mapping (speed & quality): texel size ≈ pixel size
- idea: use stack of textures and select the most appropriate one w.r.t. situation



Texture Mapping: Anisotropic Filtering

- large textures not perpendicular to viewing direction: blurring problems w.r.t. angle
- appropriate mip map selection not possible







Texture Mapping: Anisotropic Filtering

- large textures not perpendicular to viewing direction: blurring problems w.r.t. angle
- appropriate mip map selection not possible
- generate mip maps favoring one direction: 256×128, 256×64, 128×64, 128×32, ...



Color and Color Models



What is color?



What is color?



What is Color?

- color is a human reaction to light (change) (can also be influenced by cultural background)
- what is light?
- light is the visible part (370–730nm) of the electromagnetic spectrum



Human Color Perception

light is converted into signals by cone cells
three cone types with different sensitivities



Stone 2005

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Human Color Perception

- colored light = spectral distribution function: light intensity as function of wavelength
- converted into 3 response values by cones (short, medium, and long wavelengths)



Describing Color Vision: XYZ Color Model

- definition of three primary colors: X, Y, Z
 - color-matching functions are non-negative
 - Y follows the standard human response
 - to luminance, i.e., the Y value represents perceived brightness
 - can represent all perceivable colors
- mathematically derived from experiments



XYZ CIE Color Space

• plotting XYZ space in 3D

- all colors that are perceivable by humans form a deformed cone
- *X*, *Y*, and *Z*-axes are outside this cone



CIE Chromaticity Diagram

- projection of XYZ space onto X+Y+Z = 1 (to factor out a color's brightness):
 x = X/(X+Y+Z) y = Y/(X+Y+Z)
- monochromatic colors on upper edge
- color gamut: colors visible on a device through color adding





http://www.techmind.org/

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Graphics 101

Can RGB Represent Any Color?

• no, because all colors form horseshoe shape in CIE chromaticity diagram and RGB gamut is triangular



Let's see REAL cyan ...



Let's see REAL cyan ...



Additive vs. Subtractive Color

- (physical) color mixing depends on color production process
- device-dependent color models
 - light emission:
 additive mixing
 (CRTs etc.): RGB model
 - light absorption:
 subtractive mixing
 (printing process):
 CMY(K) model





HSV/HSB and HSL/HLS Color Models

- human's inefficient with device models
- perceptual models to ease color specification



Color Models Summary

- human vision-oriented
 CIE XYZ & LMS
- hardware-oriented
 RGB & CMY(K)
- perceptual models
 HSV/HSB & HSL/HLS
- other models (e.g., for TV)
 YIQ (NTSC) & YUV (PAL)



Other Color Topics

- color perception depending on context
- color deficiency





Other Color Topics

- color perception depending on context
- color deficiency



